

Discriminating stocks of striped red mullet (Mullus surmuletus) in the Northwest European seas using three automatic shape classification methods

Abdesslam Benzinou, Sébastien Carbini, Kamal Nasreddine, Romain

Elleboode, Kélig Mahé

▶ To cite this version:

Abdesslam Benzinou, Sébastien Carbini, Kamal Nasreddine, Romain Elleboode, Kélig Mahé. Discriminating stocks of striped red mullet (Mullus surmuletus) in the Northwest European seas using three automatic shape classification methods. Fisheries Research, 2013, 143, pp.153 - 160. 10.1016/j.fishres.2013.01.015 . hal-00938815

HAL Id: hal-00938815 https://hal.science/hal-00938815v1

Submitted on 29 Jan 2014

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Discriminating stocks of striped red mullet (Mullus surmuletus) in the Northwest European seas using three automatic shape classification methods

Abdesslam Benzinou, Sébastien Carbini, Kamal Nasreddine

Ecole Nationale d'Ingénieurs de Brest ENIB, UMR CNRS 6285 LabSTICC 29238 Brest Cedex 03 (FRANCE)

Romain Elleboode, Kélig Mahé

Ifremer, Laboratoire Ressources Halieutiques 62321 Boulogne sur mer (FRANCE)

Abstract

Stock identification is of primarily importance for population structure assessment of economically important species. This study investigates stocks of striped red mullet using three automatic methods of stock identification based on otolith shape and growth marks. Otolith shape is known to be a promising approach for stock identification but interpreting patterns of variance is a difficult problem. In this study, images in reflected and transmitted light were acquired from 800 otoliths sampled in the Northwest European seas from South Bay of Biscay to North Sea. The growth marks are pointed out manually by an expert. The external shape of otoliths were automatically extracted by computer vision process and then three automatic classification methods were compared, two classical state-of-the-art methods based on Fourier descriptors and Principal Component Analysis (PCA), and a recently proposed method based on shape Geodesics. From

Preprint submitted to Elsevier

Email address: benzinou@enib.fr (Abdesslam Benzinou)

a methodological point of view, results show that the shape geodesic approach significantly outperforms other classical methods. From a biological point of view, this study shows that the population of striped red mullet in Northwest European seas can be divided in three geographical zones: the Bay of Biscay, a mixing zone composed of the Celtic Sea and the Western English Channel and a northern zone composed of the Eastern English Channel and the North Sea (67% of correct classification rate using both shape and growth pattern information). Moreover, it shows that for a given zone, two subsets of the same year have a lower variability in shape than two subsets from two consecutive years.

Keywords: Striped red mullet, otoliths, stock identification, year identification, shape analysis, Fourier descriptors, Principal Component Analysis (PCA), shape Geodesics

1. Introduction

Striped red mullet (Mullus surmuletus) occurs along the coast of Europe 2 from the South of Norway [Wheeler, 1978] and the North of the Scotland 3 [Gordon, 1981] to Gibraltar, also along the northern part of West Africa 4 to Dakar, in the Mediterranean and Black Seas. Striped red mullet has 5 been extensively studied in terms of quantity in the Mediterranean Sea and 6 some studies were carried out in the Bay of Biscay [Desbrosses, 1933, 1935; 7 N'Da and Deniel, 1993] that correspond to oldest exploitation areas in the 8 Atlantic Ocean. Within the Atlantic Ocean, there are two main areas where 9 this species is caught in this region: Bay of Biscay and in the Eastern English 10 Channel. This species has been initially exploited by the Spanish fleets along 11 their coast to the Bay of Biscay. Initially considered as a valuable by catch 12

[Marchal, 2008], the development of striped red mullet exploitation and a 13 strong increase in landings along the English Channel and the southern 14 North Sea by French, English and Dutch fleets have been observed since the 15 1990's. The strong increase of catches is essentially due to French trawlers 16 and supplemented by the Netherlands and United Kingdom fleets which 17 are carried out in the Eastern Channel and the south of North Sea [Mahé 18 et al., 2005]. This could be attributed to an expansion of its migration 19 distribution, abundance of this species coupled by the decline of traditionally 20 targeted species in these areas and the sea-water warming trend [ICES, 21 2010; Marchal, 2008; Poulard and Blanchard, 2005]. Reports indicate a 22 steady increase in East English Channel landings reaching ten times recorded 23 landings in 1990 [Carpentier et al., 2009; Marchal, 2008]. Striped red mullet 24 is still considered as a non-quota species in the Northeast Atlantic region 25 and evaluation of the level of stock exploitation has only started since 7 26 years [ICES, 2010]. 27

Stock identification and spatial structure information provide a basis ²⁸ for understanding fish population dynamics and provides reliable resource ²⁹ assessment for fishery management [Reiss et al., 2009]. Each stock may ³⁰ have unique demographic properties and responses or rebuilding strategies ³¹ to exploitation. Biological attributes and productivity of species may be ³² affected if the stock structure and fisheries management are not well considered [Smith et al., 1991]. ³⁴

There are a variety of techniques for stock identification such as genetics ³⁵ and morphometry studies. Genetic studies have been carried out in the ³⁶ Mediterranean Sea [Apostolidis et al., 2009; Galarza et al., 2009; Mamuris ³⁷ et al., 1998a,b]. In the Gulf of Pagasitikos (Greece sea), the analyses of three ³⁸ molucar markers revealed that this is a panmictic population [Apostolidis ³⁹ et al., 2009]. However, on the level of the Mediterranean basin, the siculo-Tunisian Strait seems to be the transition zone between the Mediterranean's eastern and western populations [Galarza et al., 2009]. A sharp genetic division was detected when comparing striped red mullet originating from the Atlantic Ocean and from Mediterranean Sea.

Among all available techniques, otolith shape has been proven to be 45 relevant feature for species and/or stock discrimination issues [Begg and 46 Brown, 2000; Burke et al., 2008; Campana and Casselman, 1993; Stransky, 47 2005; Stransky et al., 2008b]. Otolith shape reflects the growth pattern of the 48 fish as well as being markedly species specific. As a result, otolith shape can 49 be used to differentiate stocks of the same species. Another relevant feature 50 for stock identification is the growth law as growth is highly correlated to 51 the environmental conditions and is thus stock specific. 52

In the present study, the stock identification was investigated with two 53 methods based either on otolith shape or on growth marks (and both infor-54 mation). Images in reflected and transmitted light were acquired from 800 55 otoliths sampled in the Northwest European seas from South Bay of Biscay 56 to North Sea. Growth marks have been pointed out manually by an expert. 57 External shapes were extracted by computer vision process and then three 58 automatic classification methods were compared, two classical state-of-the-59 art methods based on Fourier descriptors, Principal Component Analysis 60 (PCA), and a recently proposed method [Nasreddine et al., 2009] based on 61 shape geodesics. 62

2. Materials and methods

2.1. Otolith datasets

Striped red mullet otoliths were extracted from fish randomly sampled 65 from the southern bay of Biscay to the North sea. The study area was 66 divided into six geographic sectors: the NS (North Sea; ICES Division 67 IVab), the EEC (Eastern English Channel; ICES Division VIId), the WEC 68 (Western English Channel; ICES Division VIIe), the CS (Celtic Sea; ICES 69 Division VIIh), the NBB (North Bay of Biscay; ICES Division VIIIa) and 70 the SBB (South Bay of Biscay; ICES Division VIIIb) (Figure .1). All 71 sampling were collected from September to December 2009 except the EEC 72 otoliths which were collected from October-November 2007 and 2008. 73

{Figure .1 goes here }

The otoliths were selected from the routine surveys on board the RV 75 "Thalassa" and RV "Gwen-Drez" conducted by the Ifremer Institute (France) 76 and from fisheries markets. Fish were caught by otter trawl, bottom pair 77 trawl and set gillnets. Both sagittal otoliths were removed and cleaned be-78 fore drying and storing in paper envelope. One otolith per fish was examined 79 using a light microscope connected to a video camera and a dedicated image-80 analysis system TNPC (digital processing for calcified structures) developed 81 by Ifremer, ENIB and Noesis society. 82

Images of whole otoliths have been acquired using both transmitted and reflected lights. From 800 otoliths coming from six different stocks of striped red mullet (Figure .1), four different image datasets will be considered: 85

Dataset (1): 600 otoliths sampled from six different stocks (100 otoliths per stock): ⁸⁶

• NS: North Sea (IVab) - 2009

87

64

• EEC08: Eastern English Channel (VIId) - 2008	88
• WEC: Western English Channel (VIIe) - 2009	89
• CS: Celtic Sea (VIIh) - 2009	90
• NBB: North Bay of Biscay (VIIIa) - 2009	91
• SBB: South Bay of Biscay (VIIIb) - 2009	92
Dataset (2) : 700 otoliths: the 600 otoliths of dataset (1) with 100 other otoliths	93
from Eastern English Channel but of a different year:	94
• EEC07: Eastern English Channel (VIId) - 2007	95
Dataset (3) : 200 otoliths: those from Eastern English Channel (VIId) over the two	96
consecutive years 2007 and 2008:	97
• EEC07: Eastern English Channel (VIId) - 2007	98
• EEC08: Eastern English Channel (VIId) - 2008	99
Dataset (4) : 200 otoliths from North Sea (IVab) from the same year 2009 randomly	100
divided in 2 classes:	101
\bullet NS09a: North Sea (IVab) - 2009 a	102
\bullet NS09b: North Sea (IVab) - 2009 b	103

These datasets illustrate two different types of applications of otolith ¹⁰⁴ shape classification: stock discrimination (datasets (1) and (2)) and year ¹⁰⁵ discrimination (datasets (3) and (4)). Both issues are quite hard for current ¹⁰⁶ state-of-the-art computer vision techniques because the external shapes of ¹⁰⁷ the considered otoliths exhibit very few differences. ¹⁰⁸

For the year discrimination issue, the test is carried out on dataset 109 (3) and dataset (4) separately. As dataset (4) is composed of randomized 110

classes, the classification performances on this dataset should be close to 111 those of a theoretical random classifier (i.e. 50%). The difference in performances between dataset (3) and dataset (4) will give an idea of the validity 113 of the results. 114

2.2. Shape-based stock identification

The shape-based classification process can be decomposed in three main 116 steps (Figure .2). First, the otolith contour is extracted as described in 117 next section $(\S 2.2.1)$ using an automatic threshold. Three approaches to 118 extract reduced-dimension feature vectors from the contours were consid-119 ered: Fourier Transform (FT), Principal Component Analysis (PCA) and 120 a technique issued from shape geodesics [Nasreddine et al., 2009]. The dis-121 criminative power of each approach is evaluated using its own distance ma-122 trix as input for a classifier. In other words, for a query input the feature 123 vector is considered as the distance matrix calculated between this indi-124 vidual and the training individuals. Here, we investigate the performances 125 of two widely used classifiers: (1) the K-Nearest Neighbors (KNN) classi-126 fier with the "leave-one-out" heuristic and (2) the Support Vector Machine 127 (SVM) classifier [Vapnik, 1995] with two randomly-selected sub-samples, one 128 of them is used to build the SVM-model which is tested on the other. 129

{Figure .2 goes here }

130

131

2.2.1. Automatic contour extraction

The otolith image is acquired using two imaging modalities: by transmitted light or by reflected light. These two modalities could give additional information. To extract the otolith outline, a mixed image is built in order to integrate information available in both modalities (Figure .3). This mixed

image is a mean between the transmitted light image and the negative of 136 the reflected light image. Image contours are detected as local maximum 137 of the image gradient, approximated using a Sobel filtering. The resulting 138 contours are filled by a morphological closing operation and filtered to re-139 tain the largest connected component which corresponds to the edge of the 140 otolith. The advantage of mixing both image modalities is illustrated on 141 example given by figure .3. The mixed image gives more details about the 142 contour especially on the region of the excisura major. 143

{Figure .3 goes here }

The resulting contour is then sampled into 300 points which describe ¹⁴⁵ adequately the otolith shape. ¹⁴⁶

144

147

2.2.2. Fourier descriptors

Shape can be described using complex Fourier descriptors [Granlund, 148 1972] or using elliptic Fourier descriptors [Kuhl and Giardina, 1982]. For 149 otolith shape analyses, both techniques have been extensively used and 150 proved to be efficient [Duarte-Neto et al., 2008a; Kristoffersen and Magoulas, 151 2008; Mérigot et al., 2007; Stransky et al., 2008a] [Cardinale et al., 2004; 152 Galley et al., 2006; Robertson and Talman, 2002; Schulz-Mirbach et al., 153 2008; Smith, 1992; Torres et al., 2000]. In our previous work [Nasreddine 154 et al., 2009] we have showed that for red mullet otoliths, classification results 155 are still similar by using these two methods. Elliptic Fourier descriptors are 156 more appropriate than complex Fourier descriptors when otolith contours 157 are composed of series of ellipse arcs (as for Trachurus mediterraneus otoliths 158 for example). Hence, for striped red mullet otoliths we have chosen to use 159 the complex descriptors which can be implemented more efficiently. 160

With a view to achieving translation, rotation and scaling invariance, 161

the first descriptor is aborted and the selected descriptors are scaled with ¹⁶² respect to the first non zero coefficient resulting in the so-called *normalized* ¹⁶³ *Fourier descriptors*. The distance between two shapes is computed as the ¹⁶⁴ Euclidean distance between the associated vectors of the normalized Fourier ¹⁶⁵ descriptors. ¹⁶⁶

167

2.2.3. Principal Component Analysis (PCA)

Principal Component Analysis (PCA) was first introduced by Pearson in 168 [Pearson, 1901] as a mathematical tool that transforms data linearly corre-169 lated to uncorrelated variables called principal components. PCA is exten-170 sively used in fisheries research for otolith shape analyses, in particular for 171 otolith stock identification. Usually, PCA is applied on Fourier coefficients 172 in order to assess differences in otolith shape [Duarte-Neto et al., 2008b; 173 Mérigot et al., 2007; Schulz-Mirbach et al., 2008]. PCA can also be applied 174 on morphometric variables [Torres et al., 2000], on a binary low resolution 175 image of the contour [Bermejo and Monegal, 2007] or for standardizing the 176 otolith contour orientation [Piera et al., 2005]. 177

However, PCA is not invariant to affine transformations, it is applied for 178 pattern recognition when the coordinates of input vectors can be ordered. 179 In face recognition for example, eyes and lips centers are manually selected, 180 then the images are rotated, in order to make the line connecting eye centers 181 horizontal, and resized to make the distances between the centers of the 182 eyes equal. The PCA is carried out on data vectors formed by cropped part 183 of images. In the case of calcified structures, it is not always obvious to 184 order the data vector coordinates on the basis of clearly defined landmarks. 185 A normalization procedure should then be applied to the raw contours to 186 be invariant with respect to translation, rotation and scaling, so that the 187

normalized shape is the result of the fish history, independently of acquisition 188 settings.

The translation invariance is obtained simply by subtracting the coordi-190 nates of the mass center to the coordinates of all points. Scale invariance is 191 also simply obtained by dividing each point of the contour in polar coordi-192 nates by the mean radius. For rotation normalization, a first solution could 193 be to align shapes according to the main axis. This axis can be defined by 194 the two farthest points of the shape or by minimizing the covariance using a 195 PCA like in [Piera et al., 2005]. However, on striped red mullet otolith, the 196 main axis does not correspond to a meaningful biological feature. Instead, 197 we propose to normalize shapes according to the center of excisura major. 198

The corresponding point of the excisura major can be detected automatically after subtraction of the original otolith shape from the corresponding filled shape. Then, each shape is aligned according to the axis that passes through this point and the mass center of the otolith contour (Figure .4).

203

{Figure .4 goes here }

After contours normalization, PCA is applied on a matrix where each 204 of the rows represents a different contour and the columns represent the 205 information about the contours: the Cartesian normalized coordinates and 206 the local curvature are all put together in a row, one after the other. 207

To compute a distance between all contours in a given dataset, we proceed with a "leave-one-out" heuristics. One after another, each contour C_i 209 of the dataset is left out and PCA is computed on the remaining contours. 210 Then contour C_i is projected into the eigenspace generated by the eigenvectors. Finally the distances between the projected contour and each of the other projected contours of the dataset are computed as Euclidean distances in the eigenspace. 214

2.2.4. The geodesics approach

A potential drawback of Fourier and PCA approaches comes from the 216 implicit global (spatial) characterization of the shape. Each descriptor holds 217 information about all points of the shape as it is calculated using all points. 218 Therefore, local (spatial) discriminant shape signatures, such as shape dis-219 continuities or landmarks, may not be well exploited by such a global char-220 acterization [Parisi-Baradad et al., 2005]. In contrast, a Geodesic approach 221 was recently proposed [Nasreddine et al., 2009] to take advantage of lo-222 cal shape features while ensuring invariance to geometric transformations 223 (e.g. translation, rotation and scaling). In this approach, we have defined 224 distance between shapes as a deformation cost stated as a matching issue, 225 i.e. determining the optimal matching between two otolith contours with 226 respect to a similarity measure. 227

The distance $d(\Gamma_1, \Gamma_2)$ between two shapes Γ_1 and Γ_2 is stated as the 228 minimum, over all mapping functions Ψ , of the similarity measure, $E_D(\Gamma_1, \phi(\Gamma_2))$ between the reference shape Γ_1 and the mapped shape $\phi(\Gamma_2)$. 230

$$d(\Gamma_1, \Gamma_2) = \min_{\phi \in \Psi} E_D(\Gamma_1, \phi(\Gamma_2)) \tag{1}$$

As the important biological information is considered in the shape of 231 contour and not in its size, the shapes are parameterized in function of the 232 normalized curvilinear abscissa s which has a value between 0 and 1 inde-233 pendently of the original contour length. A robust criterion is introduced in 234 order to improve the robustness of the proposed distance to outliers coming 235 from biological interindividual variabilities. The principle is supported by 236 the use of a function that adjusts weight ω in order to penalize the data 237 points of high variation compared to other points. 238 Given two shapes locally characterized by the angle $\theta(s)$ between the 239 tangent to the curve and the horizontal axis, the distance between two con-240 tours is defined by: 241

$$d(\theta_1(s), \theta_2(s)) = 2 \inf_{\phi} \arccos \int_s \sqrt{\phi_s(s)} \left| \cos \frac{\omega(r(s))r(s)}{2} \right| ds$$
(2)

where $\phi_s = \frac{d\phi}{ds}$ and $r(s) = \theta_1(s) - \theta_2(\phi(s))$. The term $\sqrt{\phi_s(s)}$ allows to 242 avoid torsion and stretching along the curve. The weight function ω is 243 issued from the robust estimator of Leclerc [Black and Rangarajan, 1996]; 244 $\omega(r(s)) = \frac{2}{\sigma^2} exp(\frac{-r^2(s)}{\sigma^2})$ where σ is the standard deviation of data errors 245 r(s).

Formally, the numerical computation of $d(\Gamma_1, \Gamma_2)$ is solved by using a ²⁴⁷ dynamic programming technique (refer to [Nasreddine et al., 2009] for more ²⁴⁸ details). ²⁴⁹

250

2.3. Growth marks based stock identification

The growth-based classification process consists of three main steps (Fig-251 ure .2). First, an expert manually points out the growth marks on the 252 otolith image (Figure .5). This step can be done using **TNPC** software 253 (www.tnpc.fr) in parallel with the image acquisition step; it is not a contra-254 diction with the automatic process of classification. Then distance between 255 the growth laws of two otoliths is computed using the Euclidean distances 256 between growth vectors. In case of two different aged otoliths, distance is 257 computed using only the growth marks available on both otoliths. For ex-258 ample, in figure .5 this distance is computed using the three growth marks 259 on each otolith. 260

Given two growth vectors $G_1 = \{G_{1j}\}_{j=1\cdots N_1}$ and $G_2 = \{G_{2j}\}_{j=1\cdots N_2}$, ²⁶¹ the growth distance is considered as the Euclidean distance: ²⁶²

$$d_{Growth} = \sqrt{\sum_{j=1}^{N_g} (G_{2j} - G_{1j})^2}$$
(3)

269

270

where $N_g = \min\{N_1, N_2\}$ is the number of growth marks available in both vectors.

Finally, all distances between otoliths are computed leading to a distance ²⁶⁵ matrix used as input for an SVM classifier. The feature vector is considered as the distance calculated between the query input and all training ²⁶⁷ individuals. ²⁶⁸

{Figure .5 goes here }

3. Results

Here performances are evaluated in terms of correct classification rates. ²⁷¹ We have started experiments with the hypothesis that the six stocks (NS, ²⁷² EEC, WEC, CS, NBB and SBB) are considered as individual separated ²⁷³ stocks with specific characteristics of shapes. ²⁷⁴

Compared to KNN, SVM classifier performs slightly better in terms of 275 correct classification rate (from 30% to 32.7% on dataset (1)) but at the 276 cost of increasing dramatically the standard deviation of the performances 277 between classes (from 10.9 to 15.2 on dataset (1)). Thus, as KNN clas-278 sifier results in stable performances across the classes, it has been chosen 279 for shape-based classification. In contrast, applying KNN for growth-based 280 stock identification gives a correct classification rate of 25.5% whereas SVM 281 gives higher correct classification rate (35.4%) for the same dataset (dataset 282 (1)). Hence, SVM has been chosen for growth-based classification. 283

The correct classification rates remain high with respect to the random 284 classification but these rates show that the hypothesis of separated stocks 285

should be aborted. The six stocks are then grouped into three stocks lead-286 ing to a correct classification rate of 67%. Grouped stocks have in the first 287 hypothesis close shape characteristics and could not be really distinguished 288 easily. Classification errors could be due to genetic factors, migration among 289 others. A rate of 100% could then not be reached with the presence of all 290 these factors on the otolith shape. In comparisons to other stock identi-291 fication methods, otolith shape is a promising approach but interpreting 292 patterns of variance can be difficult [Cadrin et al., 2005]. 293

In the following, geographical zones are ordered in the tables according ²⁹⁴ to their positions (from north (NS) to south (SBB)); thus neighbor classes ²⁹⁵ are also neighbor geographical zones. ²⁹⁶

297

309

3.1. Dataset (1)

Results on dataset (1) are given in tables .1-.3. Geodesic approach 298 reaches 30% of correct classification (Table .3) while this rate is 19.7% for 299 Fourier approach (Table .1) and 25% for PCA (Table .2). These scores are 300 better than a random classification that would theoretically reach 16.7% (for 301 six classes). 302

{Table .1 goes here }	303
{Table .2 goes here }	304
{Table .3 goes here }	305

In table .4, classification results are given when the growth information 306 is used for stock identification. The mean correct classification obtained by 307 SVM reaches 35.4%. 308

{Table .4 goes here }

As in [Nasreddine et al., 2009], we have tested stock identification with ³¹⁰ both growth and shape information in order to improve classification per-³¹¹ formances. The mean correct classification rate is then increased to reach ³¹² 49.4% (Table .5). ³¹³

314

315

323

324

{Table .5 goes here }

3.2. Dataset (2)

On dataset (2), Fourier approach reaches 16.4% of mean correct classification (Table .6), PCA approach reaches 19% of correct classification (Table .7) while Geodesic approach reaches 24.9% (Table .8). These scores are also better than a random classification that would theoretically reach 14.3% (for seven classes).

{Table .6 goes here } 321

{Table .7 goes here }	322

{Table .8 goes here }

3.3. Datasets (3) and (4)

Regarding the year discrimination issue on dataset (3), the mean classi-325 fication rate of the Fourier approach (56%, Table .9) is too close to the the-326 oretical mean classification rate of a random classifier (50% for two classes). 327 Thus the classical Fourier approach fails on this specific year discrimination 328 issue. The mean classification rate on the random dataset (4) (43%, Ta-329 ble .10) is lower but quite close to the theoretical mean classification rate of 330 a random classifier (50% for two classes), it shows that with this approach, 331 two arbitrary sets of the same stock and same year have no significant shape 332 differences. 333

Regarding PCA and Geodesic approaches, the mean classification rate ³³⁴ on dataset (3) (60%, Table .9) is higher than the mean classification rate ³³⁵ on the random dataset (4) (49.5%, Table .10). This shows that the otolith ³³⁶ morphology varies over two consecutive years and that this difference in ³³⁷ shape is higher than between two arbitrary groups of the same year and ³³⁸ same stock. ³³⁹

{Table .9 goes here } 340

{Table .10 goes here }

4. Discussion

342

343

341

4.1. Comparison of the three shape-based approaches

Performances of the three shape-based approaches are compared in table .11. On both dataset (1) and dataset (2), the Geodesic approach exhibits highest performances followed by PCA approach and Fourier approach last. 346

Regarding the stock discrimination issue on dataset (1) (Tables .1, .2 347 and .3), the three methods show that the population of striped red mullet 348 can be geographically divided in three zones: 349

- The Bay of Biscay (NBB+SBB) 350
- A mixing zone composed of the Celtic Sea and the Western English Channel (CS+WEC) 352
- A northern zone composed of the Eastern English Channel and the North Sea (EEC+NS) 354

To further the "three zones" hypothesis, we have tested the classification ³⁵⁵ when the otoliths were grouped in three classes corresponding to the three ³⁵⁶ zones. The results of this classification using the geodesic approach is shown ³⁵⁷ in table .12 below. It clearly validates the hypothesis as the obtained mean ³⁵⁸ correct classification rate reaches 54.3% and the error scores are higher between two neighbors zones than between two unconnected zones. Finally, ³⁶⁰ this rate raises to 67.31% when the SVM classifier is used with geodesic ³⁶¹ distances coupled with the growth information (Table .13). ³⁶²

Regarding the year discrimination issue, classical Fourier approach fails ³⁶³ while PCA approach shows a small difference in shape and Geodesic approach exhibits the highest difference (Table .11). Thus Geodesic approach ³⁶⁵ seems the most appropriate method for this task. ³⁶⁶

{Table .11 goes here } 367 {Table .12 goes here } 368 {Table .13 goes here } 369

370

4.2. Relevance of the shape and growth information

In this study three different approaches have been compared for shape-371 based stock identification, two state-of-the-art methods (Fourier and PCA) 372 that have been extensively used in marine research on different species, and 373 a recent method (Geodesic) that proved to give very good performances 374 on different shapes [Nasreddine et al., 2010] and in particular on otolith 375 shapes [Nasreddine et al., 2009]. Although these three methods result in 376 high correct classification rates on several problems, they give quite low 377 correct classification rate for the particular cases tested in this study. It 378 tends to prove that otolith shape is not relevant for the particular case of 379 striped red mullet if we consider the six stocks separately. The growth-380 based stock identification results are not so far from the shape-based stock 381 identification results. This study shows that both information are influenced 382 by different living conditions and different environments and can serve as 383 stock identifier. This identification is not very high as otolith shape is highly 384 due to the genetics. This result tends to prove that the genetic information 385 is quite homogeneously spread across all geographical zones in the north 386

west European seas.	387
This study has proven that by coupling both information (shape and	388
growth patterns), stock discrimination becomes more efficient. These two	389
information are independent and multivariate analysis, including them with	390
other independent information (chemical concentrations, \ldots), should be	391
investigated for stock identification.	392
The observations above lead to two hypothesis on the striped red mullet:	393
• some adults move from one zone to another,	394
• some larvae or juveniles perform migration during growth.	395
Acknowledgement	396
The authors want to thank the European commission for providing the	397
financial support of this work through the NESPMAN project, all scientists	398
and crew on board the RV "Thalassa" and the RV "Gwen Drez" for their	399
help with sample collection.	400
Apostolidis, A., Moutou, K., Stamatis, C., Mamuris, Z., 2009. Genetic struc-	401
ture of three marine fishes from the gulf of pagasitikos (greece) based on	402
allozymes, RAPD, and mtDNA RFLP markers. Biologia 64 (5), 1005–	403
1010.	404
Begg, G., Brown, R., 2000. Stock identification of haddock melanogrammus	405
aeglefinus on georges bank based on otolith shape analysis. Transactions	406
of the American Fisheries Society 129, 935–945.	407
Bermejo, S., Monegal, B., 2007. Fish age analysis and classification with	408
kernel methods. Pattern Recognition Letters 28 (10), 1164–1171.	409

- Black, M., Rangarajan, A., 1 1996. On the unification of line processes, 410
 outlier rejection, and robust statistics with applications in early vision. 411
 International Journal of Computer Vision 19 (5), 57–92. 412
- Burke, N., Brophy, D., King, P., 2008. Otolith shape analysis: its application 413 for discriminating between stocks of irish sea and celtic sea herring (clupea 414 harengus) in the irish sea. ICES Journal of Marine Science 65. 415
- Cadrin, S., Friedland, K., Waldman, J., 2005. Stock identification methods: 416 Applications in Fishery science. Elsevier Academic press. 417
- Campana, S., Casselman, J., 1993. Stock discrimination using otolith shape analysis. Canadian Journal of Fisheries and Aquatic Sciences 50, 1062– 1083.
- Cardinale, M., Doering-Arjes, P., Kastowsky, M., Mosegaard, H., 2004. Effects of sex, stock, and environment on the shape of known-age atlantic
 cod (gadus morhua) otoliths. Canadian Journal of Fisheries and Aquatic
 Sciences 61 (2), 158–167.
- Carpentier, A., Martin, C., Vaz, S., 2009. Channel habitat atlas for marine resource management (charm phase ii). INTERREG 3a Programme, IFREMER, Boulogne-sur-mer 65.
- Desbrosses, P., 1933. Contribution à la biologie du rouget-barbet en atlantique nord. Revue des Travaux de l'Institut des Pêches Maritimes 6 (3), 429 249–270. 430
- Desbrosses, P., 1935. Contribution à la connaissance de la biologie du rouget 431 barbet en atlantique nord (iii) mullus barbatus (rond) surmuletus fage 432

mode septentrional fage. Revue des Travaux de l'Institut des Pêches Maritimes 8 (4), 351–376.

- Duarte-Neto, P., Lessa, R., Stosic, B., Morize, E., 2008a. The use of sagittal 435
 otoliths in discriminating stocks of common dolphinfish (coryphaena hippurus) off northeastern brazil using multishape descriptors. ICES Journal 437
 of Marine Science 65 (7), 1144–1152.
 438
- Duarte-Neto, P., Lessa, R., Stosic, B., Morize, E., 2008b. The use of sagittal
 otoliths in discriminating stocks of common dolphinfish (coryphaena hippurus) off northeastern brazil using multishape descriptors. ICES Journal
 of Marine Science 65, 1144–1152.
- Galarza, J., Turner, G., Macpherson, E., Rico, C., 2009. Patterns of genetic differentiation between two co-occurring demersal species: the red
 mullet (mullus barbatus) and the striped red mullet (mullus surmuletus).
 Canadian Journal of Fisheries and Aquatic Sciences 66 (9), 1478–1490.
- Galley, E. A., Wright, P. J., Gibb, F. M., 2006. Combined methods of otolith
 shape analysis improve identification of spawning areas of Atlantic cod.
 ICES Journal of Marine Science 63 (9), 1710–1717.
- Gordon, J., 1981. The fish populations of the west of scotland shelf. Part II, 450 Oceanography and Marine Biology. Annual Review 19, 405–441. 451
- Granlund, G., 1972. Fourier preprocessing for hand print character recognition. IEEE Transanctions on Computers C-21, 195–201.
- ICES, 2010. Report of the working group on assessment of new MoU species 454 (WGNEW). Tech. rep., ICES CM 2010/ACOM: 21. 455

- Kristoffersen, J., Magoulas, A., 2008. Population structure of anchovy engraulis encrasicolus L. in the mediterranean sea inferred from multiple
 457
 methods. Fisheries research 91 (2-3), 187–195.
 458
- Kuhl, F., Giardina, C., 1982. Elliptic fourier features of a closed contour. ⁴⁵⁹ Computer Graphics and Image Processing 18, 236–258. ⁴⁶⁰
- Mahé, K., Destombes, A., Coppin, F., Koubbi, P., Vaz, S., Roy, D. L., Carpentier, A., 2005. Le rouget barbet de roche mullus surmuletus (L. 1758)
 en manche orientale et mer du nord. Rapp. Contrat Ifremer/CRPMEM
 Mord-Pas de Calais, 187p.
- Mamuris, Z., Apostolidis, A., Theodorou, A., Triantaphyllidis, C., 1998a.
 Application of random amplified polymorphic dna (rapd) markers to evaluate intraspecific genetic variation in red mullet (mullus barbatus). Marine
 Biology 132, 171–178.
- Mamuris, Z., Apostolidis, A., Triantaphyllidis, C., 1998b. Genetic protein 469
 variation in red mullet (mullus barbatus) and striped red mullet (m. sur-470
 muletus) populations from the mediterranean sea. Marine Biology 130, 471
 353–360. 472
- Marchal, P., 2008. A comparative analysis of metiers and catch profiles for 473
 some french demersal and pelagic fleets. ICES Journal of Marine Science 474
 65, 674–686. 475
- Mérigot, B., Letourneur, Y., Lecomte-Finiger, R., 2007. Characterization of 476
 local populations of the common sole Solea solea (Pisces, Soleidae) in the 477
 NW mediterranean through otolith morphometrics and shape analysis. 478
 Marine Biology 151 (3), 997–1008. 479

- Nasreddine, K., Benzinou, A., Fablet, R., 2009. Shape geodesics for the classification of calcified structures: beyond fourier shape descriptors. Fisheries Research 98 (1-3), 8–15. 482
- Nasreddine, K., Benzinou, A., Fablet, R., 2010. Variational shape matching for shape classification and retrieval. Pattern Recognition Letters 31 (12), 1650–1657. 484
- N'Da, K., Deniel, C., 1993. Sexual cycle and seasonal changes in the ovary of the red mullet, mullus surmuletus, from the southern coast of brittany. Journal of Fish Biology 43 (2), 229–244.
- Parisi-Baradad, V., Lombarte, A., Garcia-Ladona, E., Cabestany, J., Piera,
 J., Chic, O., 2005. Otolith shape contour analysis using affine transformation invariant wavelet transforms and curvature scale space representation. Marine and Freshwater Research 56, 795–804.
- Pearson, K., 1901. On lines and planes of closest fit to systems of points in space. Philosophical Magazine 2 (6), 559–572.
- Piera, J., Parisi-Baradad, V., Garcia-Ladona, E., Lombarte, A., Recasens, 495
 L., Cabestany, J., 2005. Otolith shape feature extraction oriented to automatic classification with open distributed data. Marine and freshwater 497
 research 56 (5), 805–814. 498
- Poulard, J., Blanchard, F., 2005. The impact of climate change on the fish 499 community structure of the eastern continental shelf of the bay of biscay. 500 ICES Journal of Marine Science 62, 1436–1443. 501
- Reiss, H., Hoarau, G., Dickey-Collas, M., Wolff, W., 2009. Genetic popula- 502

tion structure of marine fish: mismatch between biological and fisheries 503 management units. Fish and Fisheries 10, 361–395. 504

- Robertson, S., Talman, S., 2002. Shape analysis and ageing of orange roughy
 otoliths from the south tasman rise. Tech. rep., Final Report to the
 Australian Fisheries Management Authority. Marine and Freshwater Resources Institute.
- Schulz-Mirbach, T., Stransky, C., Schlickeisen, J., Reichenbacher, B., 2008. 509
 Differences in otolith morphologies between surface- and cave-dwelling 510
 populations of Poecilia mexicana (teleostei, poeciliidae) reflect adaptations to life in an extreme habitat. Evolutionary Ecology Research 10 (4), 512
 537–558. 513
- Smith, M. K., 1992. Regional differences in otolith morphology of the deep
 slope red snapper etelis carbunculus. Canadian Journal of Fisheries and
 Aquatic Sciences 49 (4), 795–804.
- Smith, P., Francis, R., McVeagh, M., 1991. Loss of genetic diversity due to fishing pressure. Fisheries Research 10, 309–316.
- Stransky, C., 2005. Geographic variation of golden redfish (sebastes marinus)
 and deep-sea redfish (s. mentella) in the north atlantic based on otolith
 shape analysis. ICES Journal of Marine Science 62, 1691–1698.
 521
- Stransky, C., Baumann, H., Fevolden, S.-E., Harbitz, A., Hie, H., Nedreaas, 522
 K. H., Salberg, A.-B., Skarstein, T. H., 2008a. Separation of norwegian 523
 coastal cod and northeast arctic cod by outer otolith shape analysis. Fisheries Research 90 (1-3), 26–35. 525

- Stransky, C., Murta, A., Schlickeisen, J., Zimmermann, C., 2008b. Otolith
 shape analysis as a tool for stock separation of horse mackerel (trachurus
 trachurus) in the northeast atlantic and mediterranean. Fisheries Research
 89, 159–166.
- Torres, G. J., Lombarte, A., Morales-Nin, B., 2000. Sagittal otolith size 530 and shape variability to identify geographical intraspecific differences in 531 three species of the genus merluccius. Journal of the Marine Biological 532 Association of the UK 80 (2), 333–342.
- Vapnik, V., 1995. The nature of statistical learning theory. Springer-Verlag, New York, USA.
- Wheeler, A., 1978. Key to the fishes of northern europe. Frederick Warne & 536 Co. Ltd Londres, 380p. 537



Figure .1: Map of the stocks of striped red mullet involved in this study.



Figure .2: Shape-based and growth-based classification general schemes.



Figure .3: Contour extraction using transmitted light image (left), reflected light image (middle) and resulting mixed image (right). Note that the contour extracted using the mixed image is more efficient.



Figure .4: Contour extraction and normalization. Left: contour before normalization, right: contour after rotation normalization. In this figure we show the main axis passing through the mass center and the excisura major center.



Figure .5: Illustration of growth distance calculation. (a): Annual growth marks manually positioned by expert. (b): Example of distance computation between growth laws of two otoliths.

Fourier approach on Dataset (1)									
		Actual Class							
Estimated Class	NS	NS EEC08 WEC CS NBB SBB							
NS	18	20	11	18	18	12			
EEC08	21	28	25	17	6	14			
WEC	8	19	12	16	7	14			
CS	21	12	18	13	11	14			
NBB	16	9	14	16	23	22			
SBB	16	12	20	20	$\overline{35}$	$\overline{24}$			

Table .1: Confusion matrix (in %) for the Fourier approach on dataset (1) achieved by KNN classifier. Mean correct classification rate: 19.7%.

Table .2: Confusion matrix (in %) for the PCA approach on dataset (1) achieved by KNN classifier. Mean correct classification rate: 25%.

PCA approach on Dataset (1)									
		Actual Class							
Estimated Class	\mathbf{NS}	EEC08	WEC	\mathbf{CS}	NBB	SBB			
NS	29	13	15	19	10	12			
EEC08	18	31	16	21	10	10			
WEC	14	13	26	11	21	18			
\mathbf{CS}	17	21	15	20	11	12			
NBB	15	11	12	13	$\overline{21}$	25			
SBB	7	11	16	16	27	23			

Geodesic approach on Dataset (1)									
		Actual Class							
Estimated Class	\mathbf{NS}	EEC08	WEC	\mathbf{CS}	NBB	SBB			
NS	15	20	11	8	5	11			
EEC08	28	44	17	23	5	5			
WEC	9	9	22	11	7	9			
CS	24	15	24	32	15	13			
NBB	10	5	16	13	$\overline{27}$	22			
SBB	14	7	10	13	41	40			

Table .3: Confusion matrix (in %) for the Geodesic approach on dataset (1) achieved by KNN classifier. Mean correct classification rate: 30%.

Table .4: Confusion matrix resulting from an SVM classifier on growth distances (dataset (1)). Mean correct classification rate: 35.4 %.

Growth-based approach on Dataset (1)											
Estimated		Actual class									
class	\mathbf{NS}	EEC08	WEC	\mathbf{CS}	NBB	SBB					
NS	42.49	20.34	16.58	5.62	3.84	11.13					
EEC08	12.30	50.38	13.12	2.36	5.56	16.28					
WEC	12.14	19.26	41.35	5.13	8.54	13.58					
\mathbf{CS}	41.7	2.74	43.71	8.74	3.33	0.29					
NBB	11.47	8.79	30.07	3.66	26.47	19.54					
SBB	12.02	20.12	12.00	1.39	11.12	43.34					

Growth and Geodesic-based approach on Dataset (1)											
Estimated		Actual class									
class	NS	EEC08	WEC	\mathbf{CS}	NBB	SBB					
NS	43.75	12.00	2.44	12.25	5.00	3.57					
EEC08	31.25	66.00	21.95	18.36	0.00	0.00					
WEC	12.50	16.00	60.98	4.08	0.00	25					
CS	8.33	6.00	9.76	44.89	20.00	10.71					
NBB	0.00	0.00	2.44	20.41	45.00	25.00					
SBB	4.17	0.00	2.44	0.00	30.00	35.71					

Table .5: Confusion matrix resulting from an SVM classifier on geodesic distances coupled with growth distances (dataset (1)). Mean correct classification rate: 49.4 %.

Table .6: Confusion matrix (in %) for the Fourier approach on dataset (2) achieved by KNN classifier. Mean correct classification rate: 16.4%.

Fourier approach on Dataset (2)									
		Actual Class							
Estimated Class	NS	EEC07	EEC08	WEC	\mathbf{CS}	NBB	SBB		
NS	15	10	22	7	18	13	11		
EEC07	15	19	12	23	14	11	11		
EEC08	17	16	24	18	17	7	11		
WEC	6	17	14	7	14	5	11		
CS	20	14	8	17	7	12	11		
NBB	16	14	8	12	15	20	22		
SBB	11	10	12	16	15	32	23		

PCA approach on Dataset (2)									
		Actual Class							
Estimated Class	NS	EEC07	EEC08	WEC	\mathbf{CS}	NBB	SBB		
NS	20	10	11	17	14	8	7		
EEC07	16	15	17	8	14	16	14		
EEC08	12	15	24	14	16	8	7		
WEC	12	16	14	22	14	16	13		
CS	19	12	16	14	15	11	9		
NBB	13	19	9	10	14	15	28		
SBB	8	13	9	15	13	26	22		

Table .7: Confusion matrix (in %) for the PCA approach on dataset (2) achieved by KNN classifier. Mean correct classification rate: 19%.

Table .8: Confusion matrix (in %) for the Geodesic approach on dataset (2) achieved by KNN classifier. Mean correct classification rate: 24.9%.

Geodesic approach on Dataset (2)									
		Actual Class							
Estimated Class	NS	EEC07	EEC08	WEC	\mathbf{CS}	NBB	SBB		
NS	10	13	16	8	7	2	10		
EEC07	23	32	22	27	28	19	13		
EEC08	23	15	36	13	17	6	5		
WEC	5	3	5	15	9	4	7		
CS	18	13	13	16	24	10	11		
NBB	9	13	3	12	6	23	20		
SBB	12	11	5	9	9	36	34		

Year discrimination on Dataset (3)		
by Fourier approach		
	Actual Class	
Estimated Class	EEC07	EEC08
$\mathbf{EEC07}$	54	42
EEC08	46	58
by PCA approach		
	Actual Class	
Estimated Class	EEC07	EEC08
$\mathbf{EEC07}$	58	38
EEC08	42	62
by Geodesic approach		
	Actual Class	
Estimated Class	EEC07	EEC08
EEC07	64	43
EEC08	36	57

Table .9: Confusion matrix (in %) on dataset (3) achieved by KNN classifier. Mean correct classification rate: 56% with the Fourier approach, 60% by the PCA approach and 60.5% with the Geodesic approach.

Validation test on Dataset (4)			
by Fourier approach			
	Actual Class		
Estimated Class	NS09a	NS09b	
NS09a	43	57	
NS09b	57	43	
by PCA approach			
	Actual Class		
Estimated Class	NS09a	NS09b	
NS09a	46	47	
NS09a NS09b	46 54	47 53	
NS09a NS09b by Geodesi	46 54 <i>c approa</i>	47 53 ch	
NS09a NS09b by Geodesi	46 54 c approa Actua	47 53 ch l Class	
NS09a NS09b by Geodesi Estimated Class	46 54 c approa Actua NS09a	47 53 ch l Class NS09b	
NS09a NS09b <i>by Geodesi</i> Estimated Class NS09a	46 54 c approa Actua NS09a 54	47 53 ch 1 Class NS09b 55	

Table .10: Confusion matrix (in %) on dataset (4) achieved by KNN classifier. Mean correct classification rate: 43% with the Fourier approach, 49.5% by the PCA approach and 49.5% with the Geodesic approach.

Table .11: Comparison of the mean correct classification rate (in %) obtained by the three approaches on datasets (1), (2) and (3) achieved by KNN classifier.

	dataset (1)	Dataset (2)	Dataset (3)
Fourier	19.7	16.4	56
PCA	25	19	60
Geodesic	30	24.9	60.5

Table .12: Classification results on dataset (1) with the Geodesic approach when the otoliths were grouped in three classes according to their geographical zones. Mean correct classification rate: 54.3% (KNN classifier).

Geodesic approach on Dataset (1)				
with otoliths grouped by zones				
	Actual Class			
Estimated Class	Northern zone	Mixing zone	Bay of Biscay	
Northern zone	53.5	29.5	13	
Mixing zone	28.5	44.5	22	
Bay of Biscay	18	26	65	

Table .13: Classification results (in %) on dataset (1) with the Growth and Geodesic-based approach when the otoliths were grouped in three classes according to their geographical zones. Mean correct classification rate: 67.31% (SVM classifier).

Growth and Geodesic-based approach on Dataset (1) with otoliths grouped by zones			
	Actual Class		
Estimated Class	Northern zone	Mixing zone	Bay of Biscay
Northern zone	74.30	26.76	8.61
Mixing zone	22.31	58.25	22.00
Bay of Biscay	3.39	14.99	69.39